

Table II – Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Securities (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Option to Buy	(7)	5/19/2026	Common Stock	10,128	\$15.29	D	
Employee Option to Buy	(8)	2/16/2027	Common Stock	15,336	\$19.165	D	
Employee Option to Buy	(9)	2/15/2028	Common Stock	21,471	\$19.707	D	
Employee Option to Buy	(10)	5/19/2026	Common Stock	10,127	\$15.29	D	
Employee Option to Buy	(10)	2/16/2027	Common Stock	7,667	\$19.165	D	

Explanation of Responses:

- (1) Includes 288 shares owned indirectly through the People’s United Financial, Inc. Employee Stock Ownership Plan. Information is based on 03/31/2018 Plan statements.
- (2) These are restricted shares that vest in equal annual increments on Mar. 1, 2019, Mar. 1, 2020 and Mar. 1, 2021.
- (3) These are restricted shares that vest in equal annual increments on Mar. 1, 2019 and Mar. 1, 2020.
- (4) These are restricted shares that vest on Mar. 1, 2019.
- (5) These are restricted shares that vest on Jan. 3, 2024.
- (6) Shares held at Solium or brokerage account.
- (7) Exercisable on Mar. 1 immediately following the 3rd anniversary (100%) of the grant date.
- (8) Exercisable in equal annual increments over two years beginning on Mar. 1 immediately following the 2nd anniversary of the grant date.
- (9) Exercisable in equal annual increments over three years beginning on Mar. 1 immediately following the 1st anniversary of the grant date.
- (10) These options have become exercisable in accordance with the terms of the applicable option grants.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Mark F. Herron
**Signature of Reporting Person

May 3, 2018
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.